

7/13/04

2661

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. #9 15689.73	
In Re Application Of: Shinji Uebayashi et al.					
Application No. 09/889,000	Filing Date 6/22/2001	Examiner Unknown	Customer No. 022913	Group Art Unit 2661	Confirmation No. Unknown
Title: CHANNEL ASSIGNING METHOD AND COMMUNICATION APPARATUS					
				<div style="border: 2px solid black; border-radius: 50%; padding: 10px; display: inline-block;"> RECEIVED JUL 15 2004 </div>	
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
Technology Center 2600					
37 CFR 1.97(b)					
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)					
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>					
OR					
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>					

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.73

In Re Application: Shinji Uebayashi et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/889,000	6/22/2001	Unknown	022913	2661	Unknown

CHANNEL ASSIGNING METHOD AND COMMUNICATION APPARATUS

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of _____
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Signature

Dated: July 12, 2004

ADRIAN J. LEE
Attorney for Applicant
Reg. No.: 42,785
Customer No.: 022913

CC:



In re application of

Shinji Uebayashi et al.

Serial No.: 09/889,000

Filing Date: June 22, 2001

Confirmation No.: 3372

For: CHANNEL ASSIGNING METHOD AND
COMMUNICATION APPARATUS

Customer No.: 022913

Art Unit
2661

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED July 12, 2004.

Respectfully submitted,



ADRIAN J. LEE
Attorney for Applicant
Registration No. 42,785
Customer No. 022913

AJL: ds
DS0000002239V001

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Shinji Uebayashi et al.

Docket No.

15689.73

Application No.

09/889,000

Filing Date

June 22, 2001

Examiner

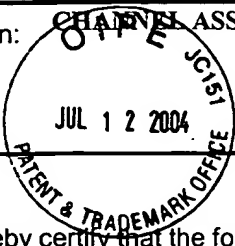
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Customer No.

Group Art Unit

2661

Invention: CHANNEL ASSIGNING METHOD AND COMMUNICATION APPARATUS

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I hereby certify that the following correspondence:

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ADRIAN J. LEE

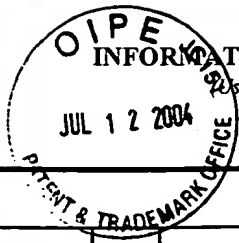
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Transmittal for Information Disclosure Statement (2 pages; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
Form PTO-1449 Listing of References (1 page);
Legible Copy of References; (2 references)
Certificate of Express Mail (EV384654430US);
Acknowledgment Postcard



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

15689.73

Application Number

09/889,000

Applicant(s)

Shinji Uebayashi et al.

Filing Date

June 22, 2004

Group Art Unit

2661

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	WO 00/27158 ✓	5/11/2000	WIPO	H04Q7	38	✓	
	2	DE 198 30 841 A1 ✓	1/20/2000	German	H04Q7	38	✓	

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.